



Attorney Docket No.: 53588-0027

SECTOR
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2165

Applicant(s): Michael Lewis, et al.

Examiner: NYA

Application No.: 09/708,124

Filed: November 2, 2000

Title: METHOD AND APPARATUS FOR
EVALUATING FRAUD RISK IN AN
ELECTRONIC COMMERCE
TRANSACTION

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Applicant(s) is entitled to small entity status. See 37 CFR 1.27

(X) Statutory basic filing fee of \$355.00 (X) Utility () Design

(X) Additional claim fees of \$174.00

(X) Missing Parts Surcharge = \$130.00

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: March 19, 2001

Typed Name: Tiran Say

Signature: Tiran Say

Respectfully submitted,

By Christopher J. Palermo

Christopher J. Palermo

Reg. No. 42,056

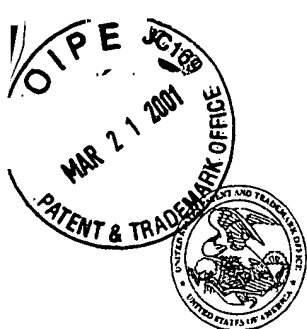
Date: March 19, 2001

Telephone No.: (408) 414-1080x202

Hickman Palermo Truong & Becker, LLP

1600 Willow Street

San Jose, California 95125-5106



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/708,124	11/02/2000	Michael Lewis	53588-0027

Hickman Palermo Truong & Becker LLP
1600 Willow Street
San Jose, CA 95125-5106

FORMALITIES LETTER



OC000000005684831

Date Mailed: 01/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$348.
 - \$108 for 6 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1188.

A copy of this notice MUST be returned with the reply.

G. Mosen

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Repln. Ref: 03/23/2001 MYUSUF1 0017201200

DAH:501302 Name/Number:09708124

FC: 704 \$65.00 CR

03/23/2001 MYUSUF1 00000041 09708124

01 FC:201	355.00 DP
02 FC:203	54.00 DP
03 FC:202	120.00 DP
04 FC:205	65.00 DP